



## SERC Compliance Open Forum

Webex

October 29, 2008

2:00-4:00 pm Eastern

(see page 2 for Webex details)

Purpose: Provide SERC Registered Entities with update on Compliance Monitoring and Enforcement Program (CMEP) developments, lessons learned and key messages.

**Note – Session will be recorded and posted on the SERC Web Site.**

### AGENDA

1. Welcome, Introductions, Agenda Review and Meeting Protocol  
*Ken Keels – SERC Manager of Compliance Enforcement*
2. Opening Remarks – Achieving Consistency and Transparency in Compliance Monitoring and Enforcement; Role of Regions, NERC and FERC  
*Gerry Cauley, SERC President and CEO*
3. SERC Board Compliance Committee (BCC) Perspectives  
*Bill Thompson – Virginia Electric and Power Company – BCC Chair*
4. SERC Compliance Program Current Status (Statistics), Recent CMEP Developments and Key Messages
  - a. FERC July 3 Guidance Order on Notices of Penalty
  - b. FERC October 16 Policy Statement on Compliance  
*Tom Galloway – SERC Compliance Director*
5. Expectations for Effective Self-Reports and Mitigation Plans  
*Ken Keels – SERC Manager of Compliance Enforcement*
6. Update on Audit and Spot Check Programs  
*Bob Goss – SERC Manager, Audit Program*
7. Standards Update (NERC Standards and SERC Regional Standards)  
*Pat Huntley – SERC Manager, Reliability Standards*
8. 2009 Compliance Monitoring and Enforcement Implementation Plan  
*Tom Galloway – SERC Compliance Director*
9. Question and Answer Session
10. Future Open Forum Dates and Topics

See Next Page for Webex Instructions



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### Webex Instructions

Topic: [SERC Compliance Open Forum](#)

Date: Wednesday, October 29, 2008

Time: 2:00 pm, Eastern Daylight Time

Web Link:

<https://serc1.webex.com/serc1/j.php?ED=109902637&UID=0&PW=ddcd1a7f49160a2c5c46581e>

Meeting Number: 714 261 098

Meeting Password: OpenForum

Dial-in Number: 408-792-6300

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**Please be sure to join the online meeting using the following instructions:**  
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1. Go to <https://serc1.webex.com/serc1/j.php?ED=109902637&UID=0&PW=ddcd1a7f49160a2c5c46581e>
2. Enter your name (include organization name (e.g., "Ken Keels – SERC")) and email address
3. Enter the meeting password: OpenForum
4. Click "Join Now"
5. Dial the Call-in Number: [408-792-6300](tel:408-792-6300)
6. Use phone touchpad to enter the Meeting Number: [714 261 098](#), followed by #.
7. Use phone touchpad to enter the Attendee ID shown on the Webex Screen, followed by #.

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**Please MUTE your line during the meeting except when asking a question.**  
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