



**SERC Reliability Corporation
Fall Compliance Conference**

*Sponsored by **E.ON U.S.***

Louisville Marriott Downtown • Louisville, Kentucky • November 6, 2008

Agenda

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| 8:30 - 8:45 a.m. | Introduction | Paul Thompson
E.ON U.S. |
| 8:45 - 9:15 a.m. | SERC President Perspectives on the Electric Reliability Organization (ERO) and the First Sixteen Months of Mandatory Reliability Standards | Gerry Cauley
SERC |
| 9:15 - 10:00 a.m. | Importance of Self-Assessment, Self-Reporting and Related Voluntary and Proactive Steps to Identify and Address Gaps in Compliance | Tom Galloway/
Ken Keels
SERC |
| 10:00 - 10:15 a.m. | Morning Break | |
| 10:15 - 10:45 a.m. | Mitigation Plans — NERC rules of procedure and CMEP requirements; additional SERC requirements; process for submittal, revisions, and updates; required content; development and submittal of evidence supporting completion, restoration of compliance and ongoing actions to prevent recurrence; importance of timely completion and requests for extensions | Steve Phillips
E.ON U.S. |
| 10:45 - 11:45 a.m. | Keynote Presentation | Philip Moeller , Commissioner
FERC |
| 11:45 - 1:00 p.m. | Lunch break | |
| 1:00 - 2:00 p.m. | Historical lessons on building a corporate culture and how that can be applied to developing a culture of compliance | Ken Sheridan
E.ON U.S. |
| 2:00 - 3:00 p.m. | Panel Discussion — Compliance structures that support a culture of compliance

<i>Chaired by: Mike Beer, E.ON U.S.</i>

<i>Panelists: David Crews, Progress Energy; Larry Akens, TVA;
Steve Phillips, E.ON U.S.</i> | |
| 3:00 - 3:15 p.m. | Afternoon break | |
| 3:15 - 3:45 p.m. | SERC's views on lessons learned, focusing on self reports, audit preparation and mitigation plans | Tom Galloway/
Ken Keels
SERC |
| 3:45 - 4:15 p.m. | Documentation: Focus on Supporting an Audit and Beyond | David Hodges
SPP |
| 4:15 - 4:30 p.m. | Wrap-up | Steve Phillips
E.ON U.S. |