

SERC Filing Due Dates

Standard Number	Title	Due Date*	Reporting Period**	Requirements	Applicable Function(s)***												
					BA	DP	GO	GOP	IA	LSE	PA	PSE	RC	RSG	TO	TOP	TP
2008 Compliance Program (filing deadlines in 2009)																	
Critical Infrastructure Protection																	
CIP-002-1	Critical Cyber Asset Identification	1/15/2009	7/1/2008 - 12/31/2008	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-003-1	Security Management Controls	1/15/2009	7/1/2008 - 12/31/2008	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-004-1	Personnel & Training	1/15/2009	7/1/2008 - 12/31/2008	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-005-1	Electronic Security Perimeter(s)	1/15/2009	7/1/2008 - 12/31/2008	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-006-1	Physical Security of Critical Cyber Assets	1/15/2009	7/1/2008 - 12/31/2008	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-007-1	Systems Security Management	1/15/2009	7/1/2008 - 12/31/2008	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-008-1	Incident Reporting and Response Planning	1/15/2009	7/1/2008 - 12/31/2008	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-009-1	Recovery Plans for Critical Cyber Assets	1/15/2009	7/1/2008 - 12/31/2008	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
Personnel Performance, Training, and Qualifications																	
PER-002-0	Operating Personnel Training	1/15/2009	1/1/2008 - 12/31/2008	All	BA										TOP		
PER-004-1	Reliability Coordination – Staffing	1/15/2009	1/1/2008 - 12/31/2008	All									RC				
	Form: Annual Self-Certification for Monthly & Quarterly Standards	1/15/2009	1/1/2008 - 12/31/2008		BA								RC		TOP		
2009 Compliance Program for Self-Certifications (filing deadlines in 2009)																	
Resource and Demand Balancing																	
BAL-002-0	Disturbance Control Performance	10/1/2009	8/1/2008 - 7/31/2009	All	BA								RSG				
BAL-005-0b	Automatic Generation Control	10/1/2009	8/1/2008 - 7/31/2009	All	BA			GOP		LSE					TOP		
BAL-006-1	Inadvertent Interchange	10/1/2009	8/1/2008 - 7/31/2009	All	BA												
Communications																	
COM-001-1	Telecommunications	10/1/2009	8/1/2008 - 7/31/2009	All	BA								RC		TOP		
COM-002-2	Communications and Coordination	10/1/2009	8/1/2008 - 7/31/2009	All	BA			GOP					RC		TOP		
Critical Infrastructure Protection																	
CIP-001-1	Sabotage Reporting	10/1/2009	8/1/2008 - 7/31/2009	All	BA			GOP		LSE			RC		TOP		
CIP-002-1	Critical Cyber Asset Identification	7/31/2009	1/1/2009 - 6/30/2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-003-1	Security Management Controls	7/31/2009	1/1/2009 - 6/30/2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-004-1	Personnel & Training	7/31/2009	1/1/2009 - 6/30/2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-005-1	Electronic Security Perimeter(s)	7/31/2009	1/1/2009 - 6/30/2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-006-1	Physical Security of Critical Cyber Assets	7/31/2009	1/1/2009 - 6/30/2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-007-1	Systems Security Management	7/31/2009	1/1/2009 - 6/30/2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-008-1	Incident Reporting and Response Planning	7/31/2009	1/1/2009 - 6/30/2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-009-1	Recovery Plans for Critical Cyber Assets	7/31/2009	1/1/2009 - 6/30/2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
	Form: CIP Supplemental Questions	7/31/2009	---	---	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
Emergency Preparedness and Operations																	
EOP-001-0	Emergency Operations Planning	10/1/2009	8/1/2008 - 7/31/2009	All	BA										TOP		
EOP-002-2	Capacity and Energy Emergencies	10/1/2009	8/1/2008 - 7/31/2009	All	BA					LSE			RC				
EOP-003-1	Load Shedding Plans	10/1/2009	8/1/2008 - 7/31/2009	All	BA										TOP		
EOP-004-1	Disturbance Reporting	10/1/2009	8/1/2008 - 7/31/2009	All	BA			GOP		LSE			RC		TOP		
EOP-005-1	System Restoration Plans	10/1/2009	8/1/2008 - 7/31/2009	All	BA										TOP		
EOP-006-1	Reliability Coordination - System Restoration	10/1/2009	8/1/2008 - 7/31/2009	All									RC				
EOP-008-0	Plans for Loss of Control Center Functionality	10/1/2009	8/1/2008 - 7/31/2009	All	BA								RC		TOP		
EOP-009-0	Documentation of Blackstart Generating Unit Test Results	10/1/2009	8/1/2008 - 7/31/2009	All			GO	GOP									
Facilities Design, Connections, and Maintenance																	
FAC-001-0	Facility Connection Requirements	6/1/2009	4/1/2008 - 3/31/2009	All											TO		
FAC-003-1	Vegetation Management Program	6/1/2009	4/1/2008 - 3/31/2009	All											TO		
FAC-008-1	Facility Ratings Methodology	6/1/2009	4/1/2008 - 3/31/2009	All			GO								TO		
FAC-009-1	Establish and Communicate Facility Ratings	6/1/2009	4/1/2008 - 3/31/2009	All			GO								TO		

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					BA	DP	GO	GOP	IA	LSE	PA	PSE	RC	RSG	TO	TOP	TP	TSP		
FAC-010-1	System Operating Limits Methodology for the Planning Horizon	6/1/2009	7/1/2008 - 3/31/2009	All								PA								
FAC-011-1	System Operating Limits Methodology for the Operations Horizon	6/1/2009	10/1/2008 - 3/31/2009	All										RC						
FAC-013-1	Establish and Communicate Transfer Capabilities	6/1/2009	4/1/2008 - 3/31/2009	All								PA		RC						
FAC-014-1	Establish and Communicate System Operating Limits	6/1/2009	1/1/2009 - 3/31/2009	All								PA		RC				TOP	TP	
Interchange Scheduling and Coordination																				
INT-001-3	Interchange Information	10/1/2009	8/28/2008 - 7/31/2009	All	BA									PSE						
INT-003-2	Interchange Transaction Implementation	10/1/2009	8/1/2008 - 7/31/2009	All	BA															
Interconnection Reliability Operations and Coordination																				
IRO-001-1	Reliability Coordination – Responsibilities and Authorities	10/1/2009	8/1/2008 - 7/31/2009	All	BA			GOP			LSE		PSE	RC				TOP	TSP	
IRO-002-1	Reliability Coordination – Facilities	10/1/2009	8/1/2008 - 7/31/2009	All										RC						
IRO-003-2	Reliability Coordination – Wide-Area View	10/1/2009	8/1/2008 - 7/31/2009	All										RC						
IRO-004-1	Reliability Coordination - Operations Planning	10/1/2009	8/1/2008 - 7/31/2009	All	BA		GO	GOP			LSE			RC		TO	TOP	TSP		
IRO-005-1	Reliability Coordination – Current-Day Operations	10/1/2009	8/1/2008 - 1/21/2009	All	BA			GOP			LSE		PSE	RC				TOP	TSP	
IRO-005-2	Reliability Coordination – Current-Day Operations	10/1/2009	1/22/2009 - 7/31/2009	All	BA			GOP			LSE		PSE	RC				TOP	TSP	
IRO-006-3	Reliability Coordination – Transmission Loading Relief	10/1/2009	8/1/2008 - 7/31/2009	All	BA									RC				TOP		
IRO-014-1	Procedures, Processes, or Plans to Support Coordination Between Reliability Coordinators	10/1/2009	8/1/2008 - 7/31/2009	All										RC						
IRO-015-1	Notifications and Information Exchange Between Reliability Coordinators	10/1/2009	8/1/2008 - 7/31/2009	All										RC						
IRO-016-1	Coordination of Real-time Activities Between Reliability Coordinators	10/1/2009	8/1/2008 - 7/31/2009	All										RC						
Personnel Performance, Training, and Qualifications																				
PER-001-0	Operating Personnel Responsibility and Authority	10/1/2009	8/1/2008 - 7/31/2009	All	BA														TOP	
PER-003-0	Operating Personnel Credentials	10/1/2009	8/1/2008 - 7/31/2009	All	BA									RC					TOP	
Protection and Control																				
PRC-001-1	System Protection Coordination	6/1/2009	4/1/2008 - 3/31/2009	All	BA			GOP											TOP	
PRC-004-1	Analysis and Mitigation of Transmission and Generation Protection System Misoperations	6/1/2009	10/1/2008 - 3/31/2009	All			DP	GO											TO	
PRC-005-1	Transmission and Generation Protection System Maintenance and Testing	6/1/2009	4/1/2008 - 3/31/2009	All			DP	GO											TO	
PRC-007-0	Assuring Consistency with Regional UFLS Program Requirements	6/1/2009	4/1/2008 - 3/31/2009	All			DP				LSE							TO	TOP	
PRC-008-0	Underfrequency Load Shedding Equipment Maintenance Programs	6/1/2009	4/1/2008 - 3/31/2009	All			DP												TO	
PRC-010-0	Assessment of the Design and Effectiveness of UVLS Program	6/1/2009	4/1/2008 - 3/31/2009	All			DP				LSE							TO	TOP	
PRC-011-0	UVLS System Maintenance and Testing	6/1/2009	4/1/2008 - 3/31/2009	All			DP												TO	
PRC-015-0	Special Protection System Data and Documentation	6/1/2009	4/1/2008 - 3/31/2009	All			DP	GO											TO	
PRC-016-0	Special Protection System Misoperations	6/1/2009	4/1/2008 - 3/31/2009	All			DP	GO											TO	
PRC-017-0	Special Protection System Maintenance and Testing	6/1/2009	4/1/2008 - 3/31/2009	All			DP	GO											TO	
PRC-021-1	Under-Voltage Load Shedding Program Data	6/1/2009	4/1/2008 - 3/31/2009	All			DP												TO	
Transmission Operations																				
TOP-001-1	Reliability Responsibilities and Authorities	10/1/2009	8/1/2008 - 7/31/2009	All	BA	DP		GOP			LSE								TOP	
TOP-002-2	Normal Operations Planning	10/1/2009	8/1/2008 - 7/31/2009	All	BA			GOP			LSE								TOP	TSP
TOP-003-0	Planned Outage Coordination	10/1/2009	8/1/2008 - 7/31/2009	All	BA			GOP						RC					TOP	
TOP-004-1	Transmission Operations	10/1/2009	8/1/2008 - 1/21/2009	All															TOP	
TOP-004-2	Transmission Operations	10/1/2009	1/22/2009 - 7/31/2009	All															TOP	
TOP-005-1	Operational Reliability Information	10/1/2009	8/1/2008 - 7/31/2009	All	BA								PSE	RC					TOP	
TOP-006-1	Monitoring System Conditions	10/1/2009	8/1/2008 - 7/31/2009	All	BA			GOP						RC					TOP	
TOP-007-0	Reporting SOL and IROL Violations	10/1/2009	8/1/2008 - 7/31/2009	All										RC					TOP	
TOP-008-1	Response to Transmission Limit Violations	10/1/2009	8/1/2008 - 7/31/2009	All															TOP	
Transmission Planning																				

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					BA	DP	GO	GOP	IA	LSE	PA	PSE	RC	RSG	TO	TOP	TP	TSP		
TPL-001-0	System Performance Under Normal Conditions	6/1/2009	4/1/2008 - 3/31/2009	All								PA							TP	
TPL-002-0	System Performance Following Loss of a Single BES Element	6/1/2009	4/1/2008 - 3/31/2009	All								PA							TP	
TPL-003-0	System Performance Following Loss of Two or More BES Elements	6/1/2009	4/1/2008 - 3/31/2009	All								PA							TP	
TPL-004-0	System Performance Following Extreme BES Events	6/1/2009	4/1/2008 - 3/31/2009	All								PA							TP	
Voltage and Reactive																				
VAR-001-1	Voltage and Reactive Control	10/1/2009	8/1/2008 - 7/31/2009	All									PSE					TOP		
VAR-002-1a	Generator Operation for Maintaining Network Voltage Schedules	10/1/2009	8/28/2008 - 7/31/2009	All			GO	GOP												
2009 Compliance Program for Data Submittals (filing deadlines in 2009)																				
Resource and Demand Balancing																				
BAL-001-0a	Real Power Balancing Control Performance	Monthly	Previous Month	All	BA															
BAL-002-0	Disturbance Control Performance	Quarterly	Previous Quarter	All	BA											RSG				
Facilities Design, Connections, and Maintenance																				
FAC-003-1	Vegetation Management Program	Quarterly	Previous Quarter	3														TO		
Interconnection Reliability Operations and Coordination																				
IRO-004-1	Reliability Coordination - Operations Planning	Monthly	Previous Month	1													RC			
Personnel Performance, Training, and Qualifications																				
PER-003-0	Operating Personnel Credentials	Monthly	Previous Month	All	BA												RC		TOP	
Transmission Operations																				
TOP-007-0	Reporting SOL and IROL Violations	Monthly (TOP-007 2.1)	Previous Month	1, 2															TOP	
TOP-007-0	Reporting SOL and IROL Violations	Monthly (TOP-007 2.3)	Previous Month	4													RC			
Transmission Planning																				
TPL-001-0	System Performance Under Normal Conditions	6/1/2009	4/1/2008 - 3/31/2009	3								PA							TP	
TPL-002-0	System Performance Following Loss of a Single BES Element	6/1/2009	4/1/2008 - 3/31/2009	3								PA							TP	
TPL-003-0	System Performance Following Loss of Two or More BES Elements	6/1/2009	4/1/2008 - 3/31/2009	3								PA							TP	
TPL-004-0	System Performance Following Extreme BES Events	6/1/2009	4/1/2008 - 3/31/2009	2								PA							TP	
2009 Compliance Program for Self-Certifications (filing deadlines in 2010)																				
Critical Infrastructure Protection																				
CIP-002-1	Critical Cyber Asset Identification	1/15/2010	7/1/2009 - 12/31/2009	All	BA		GO	GOP	IA	LSE						RC		TO	TOP	TSP
CIP-003-1	Security Management Controls	1/15/2010	7/1/2009 - 12/31/2009	All	BA		GO	GOP	IA	LSE						RC		TO	TOP	TSP
CIP-004-1	Personnel & Training	1/15/2010	7/1/2009 - 12/31/2009	All	BA		GO	GOP	IA	LSE						RC		TO	TOP	TSP
CIP-005-1	Electronic Security Perimeter(s)	1/15/2010	7/1/2009 - 12/31/2009	All	BA		GO	GOP	IA	LSE						RC		TO	TOP	TSP
CIP-006-1	Physical Security of Critical Cyber Assets	1/15/2010	7/1/2009 - 12/31/2009	All	BA		GO	GOP	IA	LSE						RC		TO	TOP	TSP
CIP-007-1	Systems Security Management	1/15/2010	7/1/2009 - 12/31/2009	All	BA		GO	GOP	IA	LSE						RC		TO	TOP	TSP
CIP-008-1	Incident Reporting and Response Planning	1/15/2010	7/1/2009 - 12/31/2009	All	BA		GO	GOP	IA	LSE						RC		TO	TOP	TSP
CIP-009-1	Recovery Plans for Critical Cyber Assets	1/15/2010	7/1/2009 - 12/31/2009	All	BA		GO	GOP	IA	LSE						RC		TO	TOP	TSP
Personnel Performance, Training, and Qualifications																				
PER-002-0	Operating Personnel Training	1/15/2010	1/1/2009 - 12/31/2009	All	BA														TOP	
PER-004-1	Reliability Coordination – Staffing	1/15/2010	1/1/2009 - 12/31/2009	All													RC			
	Form: Annual Self-Certification for Monthly & Quarterly Standards	1/15/2010	1/1/2009 - 12/31/2009		BA												RC		TOP	

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Standard Number	Title	Due Date*	Reporting Period**	Requirements	Applicable Function(s)***												
					BA	DP	GO	GOP	IA	LSE	PA	PSE	RC	RSG	TO	TOP	TP
Data Submittal Reporting Requirements																	
(will be posted to the portal by Reliability Services or Reliability Assessments)																	
2009 Program (filing deadlines in 2009)																	
Resource and Demand Balancing																	
BAL-003-0a	Form: Frequency Response	12/15/2009	---	All	BA												
Emergency Preparedness and Operations																	
EOP-009-0	Form: Blackstart	10/1/2009	---	All			GO	GOP									
Protection and Control																	
PRC-004-1	Form: Protection System Misoperations	6/1/2009 & 12/1/2009	---	All		DP	GO								TO		
PRC-007-0	Form: UFLS	6/1/2009	---	All		DP				LSE					TO	TOP	
PRC-015-0	Form: SPS	6/1/2009	---	All		DP	GO								TO		
PRC-016-0	Form: SPS Misoperations	6/1/2009	---	All		DP	GO								TO		
PRC-018-1	Form: Disturbance Monitoring Equipment	12/1/2009	---	All			GO								TO		
PRC-021-1	Form: UVLS	6/1/2009	---	All		DP									TO		

* Due dates are subject to change with advance notice provided by SERC to registered entities.

** Period of time covered by the self-certification or data submittal.

*** The listing of applicable functions is provided as a convenience. The applicability section of the reliability standard sets forth the function types for which the standard applies.