

SERC 2009 COMPLIANCE MONITORING AND ENFORCEMENT PROGRAM SEMINAR

“Strengthening Compliance” is the focus for the 11th Annual SERC Compliance Monitoring and Enforcement Program Seminar Series. In 2009, SERC will conduct four seminars with similar scope and content addressing key focus areas for compliance, lessons learned and best practices from registered entities, and other topics to support your efforts in creating a successful compliance culture. While the overall content of the seminars will be similar, the two spring seminars will focus on lessons learned in 2008 and key messages for 2009, while the two fall seminars will focus on looking ahead to the 2010 compliance implementation program and opportunities for continuing improvements in reliability compliance.

SEMINAR OVERVIEW

- General Overview of SERC Compliance Monitoring and Enforcement Program and Implementing Procedures
- SERC Lessons Learned in 2008
- SERC Key Focus for 2009
- Perspectives from FERC Staff
- Updates from NERC Staff
- Creating a Compliance Culture
- Focus Areas on Reliability Standards Related to Operations, Planning, and Cyber Security
- Registered Entity Panel Discussion on Successful Compliance Programs and Lessons Learned

RECOMMENDED PARTICIPANTS

Participation in the seminar is open to employees and representatives of organizations listed on the NERC Compliance Registry within the SERC Region. Individuals involved in the following activities related to compliance with reliability standards would benefit from attendance:

- compliance managers and staff
- personnel involved in self-assessment (internal audit) of compliance with reliability standards
- persons responsible for preparing compliance filings
- individuals authorized to sign and attest to compliance submittals
- management and staff responsible for planning, operations and maintenance of bulk-power system facilities
- personnel involved in bulk-power system cyber security and physical security

YOUR INPUT REQUESTED

If you have suggestions for topics, issues or questions to be addressed during the seminars, please email your suggestions to serccomply@serc1.org by March 2, 2009.

DATES AND LOCATIONS

April 14-15, 2009

Atlanta Airport Marriot – Atlanta, GA

April 14, 2009 10:00 a.m. - 6:00 p.m. EDT

April 15, 2009 8:00 a.m. - 1:00 p.m. EDT

May 19-20, 2009

Sheraton City Center – St. Louis, MO

May 19, 2009 10:00 a.m. - 6:00 p.m. CDT

May 20, 2009 8:00 a.m. - 1:00 p.m. CDT

September 9-10, 2009

Renaissance Nashville (Downtown) – Nashville, TN

September 9, 2009 10:00 a.m. - 6:00 p.m. CDT

September 10, 2009 8:00 a.m. - 1:00 p.m. CDT

October 6-7, 2009

Renaissance Charlotte Suites Hotel – Charlotte, NC

October 6, 2009 10:00 a.m. - 6:00 p.m. EDT

October 7, 2009 8:00 a.m. - 1:00 p.m. EDT

COMPLIANCE SEMINAR REGISTRATION AND PAYMENT

Registration is via the SERC website (www.serc1.org) (Upcoming Meetings section). Once a session reaches the limited number of attendees, the session will be closed and registration will no longer be possible. **Attendance is by pre-registration only and each session is limited to 150 attendees.**

The cost of the Compliance Seminar is \$125 per attendee. Payments for the seminars can either be made by credit card or check via the on-line registration process. Please retain the email receipt generated by your signup for your records.

HOTEL RESERVATIONS

Details pertaining to hotel reservations, including room rates can be found via the “more info” link by each Compliance Seminar in the Upcoming Meetings area of the SERC website.

ADDITIONAL INFORMATION

A detailed agenda and presentation materials for each seminar will be posted on the SERC website (www.serc1.org), under the Compliance tab– 2009 Program – Outreach menu, prior to each scheduled seminar. Unlike previous SERC compliance seminars, there will be no concurrent break-out sessions in the 2009 seminars. All subject matter, including topics focused on operating, planning, and cyber security will be covered in the general meetings as part of the planned agenda.

If you need additional information or have logistics questions about the SERC Reliability Corporation 2009 Compliance Seminars, please contact Matt Bigg at mbigg@serc1.org or phone 704.940.8232. If you have any questions concerning meeting content, please contact Mickey Bellard at mbellard@serc1.org or phone 704.940.8211.