



**SERC Compliance Open Forum**  
WebEx  
August 16, 2010  
2:00 p.m. – 4:00 p.m. Eastern  
(See page 3 for WebEx conference details)

Purpose: Provide SERC Registered Entities with an update on Compliance Monitoring and Enforcement Program (CMEP) developments, lessons learned and key messages.

**AGENDA**

- 2:00 p.m. Welcome, Introductions, Agenda Review and Meeting Protocol  
*Ken Keels – SERC Compliance Director*
- 2:05 p.m. SERC Update  
*Scott Henry – SERC President and CEO*
- 2:20 p.m. Registered Entity Question and Answer Session  
*SERC Staff*
- 3:00 p.m. Overview of SERC Enforcement Action Processing and Notice of Penalty Process  
*Jacqueline Carmody – SERC Contract Attorney*
- 3:15 p.m. TFE Part B Reviews: Status and Lessons Learned  
*Matt Stryker – SERC CIP Compliance Auditor*
- 3:30 p.m. Available Resource Overview  
*Ken Keels – SERC Compliance Director*
- 3:45 p.m. Questions and Answers
- 4:00 p.m. Adjourn



## **Upcoming 2010 SERC Outreach Information**

### **2010 Compliance Seminar Series**

Fall seminar agendas will not be repetitive of the spring seminar agendas

November 16-17, 2010 - Charlotte, NC  
November 30-December 1, 2010 - Nashville, TN

### **Distribution Provider/Load Serving Entity Workshop**

November 17-18, 2010 - Charlotte, NC  
Also offered via WebEx conference

### **2010 Open Forum WebEx Series**

All Open Forum Meetings will be held from 2:00 pm – 4:00 pm EPT

Monday, October 18, 2010

### **SERC Statistics/Lessons Learned Report**

This report is published monthly and is posted at the following link:  
<http://www.serc1.org/Application/ContentPageView.aspx?ContentId=22>

***See Next Page for WebEx Instructions***



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August 16, 2010

2:00 p.m. – 4:00 p.m. eastern

### WebEx Instructions

Topic: SERC Compliance Open Forum

Date: Monday, August 16, 2010

Time: 2:00 p.m., Eastern

Meeting Number: 716 002 603

Meeting Password: SERC

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To join this meeting  
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1. Go to <https://serc1.webex.com/serc1/j.php?J=716002603&PW=NNWU1MDI4N2U5>
2. Enter your name (include your organization name (e.g. "Janice Carney – SERC")) and email address
3. Enter the meeting password: SERC
4. Click "Join Now".
5. Follow the instructions that appear on your screen to join the teleconference.

IMPORTANT NOTICE: This WebEx service includes a feature that allows audio and any documents and other materials exchanged or viewed during the session to be recorded. By joining this session, you automatically consent to such recordings. If you do not consent to the recording, do not join the session.

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To join the teleconference  
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Call-in toll number (US/Canada): 1-408-792-6300

Access code: 716 002 603

**Please MUTE your line during the meeting except when asking a question.  
(Press \*6 to Mute line and press \*6 to Un-mute line)**