

SERC Filing Due Dates

Standard Number	Title	Due Date*	Reporting Period**	Requirements	Applicable Function(s)***												
					BA	DP	GO	GOP	IA	LSE	PA	PSE	RC	RP	RSG	TO	TOP
2009 Compliance Program (filing deadlines in 2010)																	
Resource and Demand Balancing																	
BAL-003-0a	Frequency Response and Bias	2/1/2010	8/1/2008 - 7/31/2009	All	BA												
BAL-004-0	Time Error Correction	2/1/2010	8/1/2008 - 7/31/2009	All	BA								RC				
Critical Infrastructure Protection																	
CIP-002-1	Critical Cyber Asset Identification	2/1/2010	as of December 31, 2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-003-1	Security Management Controls	2/1/2010	as of December 31, 2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-004-1	Personnel & Training	2/1/2010	as of December 31, 2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-005-1	Electronic Security Perimeter(s)	2/1/2010	as of December 31, 2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-006-1	Physical Security of Critical Cyber Assets	2/1/2010	as of December 31, 2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-007-1	Systems Security Management	2/1/2010	as of December 31, 2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-008-1	Incident Reporting and Response Planning	2/1/2010	as of December 31, 2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-009-1	Recovery Plans for Critical Cyber Assets	2/1/2010	as of December 31, 2009	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
	Form: CIP Nuclear Unit	2/1/2010	as of December 31, 2009	All			GO	GOP									
	Form: CIP Supplemental Questions	2/1/2010	as of December 31, 2009	---	BA		GO	GOP					RC		TO	TOP	
Interchange Scheduling and Coordination																	
INT-004-2	Dynamic Interchange Transaction Modifications	2/1/2010	8/28/2008 - 7/31/2009	All	BA								PSE	RC		TOP	
Personnel Performance, Training, and Qualifications																	
PER-002-0	Operating Personnel Training	2/1/2010	1/1/2009 - 12/31/2009	All	BA											TOP	
PER-004-1	Reliability Coordination – Staffing	2/1/2010	1/1/2009 - 12/31/2009	All									RC				
	Form: Annual Self Certification of Monthly and Quarterly Standards	2/1/2010	1/1/2009 - 12/31/2009		BA								RC			TOP	
2010 Compliance Program for Self-Certifications (filing deadlines in 2010)																	
Resource and Demand Balancing																	
BAL-002-0	Disturbance Control Performance	10/1/2010	8/1/2009 - 7/31/2010	All	BA									RSG			
BAL-003-0.1b	Frequency Response and Bias	10/1/2010	8/1/2009 - 7/31/2010	All	BA												
BAL-004-0	Time Error Correction	10/1/2010	8/1/2009 - 7/31/2010	All	BA								RC				
BAL-005-0.1b	Automatic Generation Control	10/1/2010	8/1/2009 - 7/31/2010	All	BA			GOP		LSE					TOP		
BAL-006-1.1	Inadvertent Interchange	10/1/2010	8/1/2009 - 7/31/2010	All	BA												
Communications																	
COM-001-1.1	Telecommunications	10/1/2010	8/1/2009 - 7/31/2010	All	BA								RC		TOP		
COM-002-2	Communications and Coordination	10/1/2010	8/1/2009 - 7/31/2010	All	BA			GOP					RC		TOP		
Critical Infrastructure Protection																	
CIP-001-1	Sabotage Reporting	10/1/2010	8/1/2009 - 7/31/2010	All	BA			GOP		LSE			RC		TOP		
CIP-002-1	Critical Cyber Asset Identification	8/13/2010	as of March 31, 2010	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-003-1	Security Management Controls	8/13/2010	as of March 31, 2010	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-004-1	Personnel & Training	8/13/2010	as of March 31, 2010	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-005-1	Electronic Security Perimeter(s)	8/13/2010	as of March 31, 2010	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-006-1	Physical Security of Critical Cyber Assets	8/13/2010	as of March 31, 2010	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-007-1	Systems Security Management	8/13/2010	as of March 31, 2010	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-008-1	Incident Reporting and Response Planning	8/13/2010	as of March 31, 2010	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
CIP-009-1	Recovery Plans for Critical Cyber Assets	8/13/2010	as of March 31, 2010	All	BA		GO	GOP	IA	LSE			RC		TO	TOP	TSP
	Form: CIP Nuclear Unit	8/13/2010	as of March 31, 2010	All			GO	GOP									
Emergency Preparedness and Operations																	
EOP-001-0	Emergency Operations Planning	10/1/2010	8/1/2009 - 7/31/2010	All	BA											TOP	
EOP-002-2.1	Capacity and Energy Emergencies	10/1/2010	8/1/2009 - 7/31/2010	All	BA					LSE			RC				

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					BA	DP	GO	GOP	IA	LSE	PA	PSE	RC	RP	RSG	TO	TOP	TP	TSP		
EOP-003-1	Load Shedding Plans	10/1/2010	8/1/2009 - 7/31/2010	All	BA													TOP			
EOP-004-1	Disturbance Reporting	10/1/2010	8/1/2009 - 7/31/2010	All	BA			GOP		LSE			RC					TOP			
EOP-005-1	System Restoration Plans	10/1/2010	8/1/2009 - 7/31/2010	All	BA													TOP			
EOP-006-1	Reliability Coordination - System Restoration	10/1/2010	8/1/2009 - 7/31/2010	All									RC								
EOP-008-0	Plans for Loss of Control Center Functionality	10/1/2010	8/1/2009 - 7/31/2010	All	BA								RC					TOP			
EOP-009-0	Documentation of Blackstart Generating Unit Test Results	10/1/2010	8/1/2009 - 7/31/2010	All			GO	GOP													
Facilities Design, Connections, and Maintenance																					
FAC-001-0	Facility Connection Requirements	6/1/2010	4/1/2009 - 3/31/2010	All														TO			
FAC-002-0	Coordination of Plans For New Generation, Transmission, and End-User	6/1/2010	4/1/2009 - 3/31/2010	All		DP	GO			LSE	PA							TO	TP		
FAC-003-1	Vegetation Management Program	6/1/2010	4/1/2009 - 3/31/2010	All														TO			
FAC-008-1	Facility Ratings Methodology	6/1/2010	4/1/2009 - 3/31/2010	All			GO											TO			
FAC-009-1	Establish and Communicate Facility Ratings	6/1/2010	4/1/2009 - 3/31/2010	All			GO											TO			
FAC-010-2	System Operating Limits Methodology for the Planning Horizon	6/1/2010	4/29/2009 - 3/31/2010	All							PA										
FAC-011-2	System Operating Limits Methodology for the Operations Horizon	6/1/2010	4/29/2009 - 3/31/2010	All									RC								
FAC-013-1	Establish and Communicate Transfer Capabilities	6/1/2010	4/1/2009 - 3/31/2010	All							PA		RC								
FAC-014-2	Establish and Communicate System Operating Limits	6/1/2010	4/29/2009 - 3/31/2010	All							PA		RC					TOP	TP		
Interchange Scheduling and Coordination																					
INT-001-3	Interchange Information	10/1/2010	8/1/2009 - 7/31/2010	All	BA								PSE								
INT-003-2	Interchange Transaction Implementation	10/1/2010	8/1/2009 - 7/31/2010	All	BA																
Interconnection Reliability Operations and Coordination																					
IRO-001-1.1	Reliability Coordination – Responsibilities and Authorities	10/1/2010	8/1/2009 - 7/31/2010	All	BA			GOP		LSE		PSE	RC					TOP	TSP		
IRO-002-1	Reliability Coordination – Facilities	10/1/2010	8/1/2009 - 7/31/2010	All									RC								
IRO-003-2	Reliability Coordination – Wide-Area View	10/1/2010	8/1/2009 - 7/31/2010	All									RC								
IRO-004-1	Reliability Coordination - Operations Planning	10/1/2010	8/1/2009 - 7/31/2010	All	BA		GO	GOP		LSE			RC					TO	TOP	TSP	
IRO-005-2	Reliability Coordination – Current-Day Operations	10/1/2010	8/1/2009 - 7/31/2010	All	BA			GOP		LSE		PSE	RC					TOP	TSP		
IRO-006-4.1	Reliability Coordination – Transmission Loading Relief (TLR)	10/1/2010	12/10/2009 - 7/31/2010	All	BA								RC					TOP			
IRO-015-1	Notifications and Information Exchange Between Reliability Coordinators	10/1/2010	8/1/2009 - 7/31/2010	All									RC								
IRO-016-1	Coordination of Real-time Activities Between Reliability Coordinators	10/1/2010	8/1/2009 - 7/31/2010	All									RC								
Modeling, Data, and Analysis																					
MOD-010-0	Steady-State Data for Modeling and Simulation of the Interconnected	10/1/2010	8/1/2009 - 7/31/2010	All			GO							RP				TO	TP		
MOD-012-0	Dynamics Data for Modeling and Simulation of the Interconnected	10/1/2010	8/1/2009 - 7/31/2010	All			GO							RP				TO	TP		
Nuclear																					
NUC-001-2	Nuclear Plant Interface Coordination	10/1/2010	4/1/2010 - 7/31/2010	All	BA	DP	GO	GOP		LSE	PA		RC					TO	TOP	TP	TSP
Personnel Performance, Training, and Qualifications																					
PER-001-0.1	Operating Personnel Responsibility and Authority	10/1/2010	12/10/2009 - 7/31/2010	All	BA														TOP		
PER-003-0	Operating Personnel Credentials	10/1/2010	8/1/2009 - 7/31/2010	All	BA								RC						TOP		
Protection and Control																					
PRC-001-1	System Protection Coordination	6/1/2010	4/1/2009 - 3/31/2010	All	BA			GOP											TOP		
PRC-004-1	Analysis and Mitigation of Transmission and Generation Protection System Misoperations	6/1/2010	4/1/2009 - 3/31/2010	All		DP	GO											TO			
PRC-005-1	Transmission and Generation Protection System Maintenance and Testing	6/1/2010	4/1/2009 - 3/31/2010	All		DP	GO											TO			
PRC-007-0	Assuring Consistency with Regional UFLS Program Requirements	6/1/2010	4/1/2009 - 3/31/2010	All		DP				LSE								TO	TOP		
PRC-008-0	Underfrequency Load Shedding Equipment Maintenance Programs	6/1/2010	4/1/2009 - 3/31/2010	All		DP												TO			
PRC-015-0	Special Protection System Data and Documentation	6/1/2010	4/1/2009 - 3/31/2010	All		DP	GO											TO			
PRC-016-0.1	Special Protection System Misoperations	6/1/2010	5/13/2009 - 3/31/2010	All		DP	GO											TO			

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PRC-017-0	Special Protection System Maintenance and Testing	6/1/2010	4/1/2009 - 3/31/2010	All		DP	GO										TO				
PRC-021-1	Under-Voltage Load Shedding Program Data	6/1/2010	4/1/2009 - 3/31/2010	All		DP											TO				
Transmission Operations																					
TOP-001-1	Reliability Responsibilities and Authorities	10/1/2010	8/1/2009 - 7/31/2010	All	BA	DP		GOP		LSE									TOP		
TOP-002-2a	Normal Operations Planning	10/1/2010	12/2/2009 - 7/31/2010	All	BA			GOP		LSE									TOP	TSP	
TOP-003-0	Planned Outage Coordination	10/1/2010	8/1/2009 - 7/31/2010	All	BA			GOP					RC						TOP		
TOP-004-2	Transmission Operations	10/1/2010	8/1/2009 - 7/31/2010	All															TOP		
TOP-005-1.1	Operational Reliability Information	10/1/2010	8/1/2009 - 7/31/2010	All	BA							PSE	RC						TOP		
TOP-006-1	Monitoring System Conditions	10/1/2010	8/1/2009 - 7/31/2010	All	BA			GOP					RC						TOP		
TOP-007-0	Reporting SOL and IROL Violations	10/1/2010	8/1/2009 - 7/31/2010	All									RC						TOP		
TOP-008-1	Response to Transmission Limit Violations	10/1/2010	8/1/2009 - 7/31/2010	All															TOP		
Transmission Planning																					
TPL-001-0.1	System Performance Under Normal Conditions	6/1/2010	5/13/2009 - 3/31/2010	All									PA							TP	
TPL-002-0	System Performance Following Loss of a Single BES Element	6/1/2010	4/1/2009 - 3/31/2010	All									PA							TP	
TPL-003-0	System Performance Following Loss of Two or More BES Elements	6/1/2010	4/1/2009 - 3/31/2010	All									PA							TP	
TPL-004-0	System Performance Following Extreme BES Events	6/1/2010	4/1/2009 - 3/31/2010	All									PA							TP	
Voltage and Reactive																					
VAR-001-1	Voltage and Reactive Control	10/1/2010	8/1/2009 - 7/31/2010	All									PSE						TOP		
VAR-002-1.1a	Generator Operation for Maintaining Network Voltage Schedules	10/1/2010	8/1/2009 - 7/31/2010	All			GO	GOP													
2010 Compliance Program for Data Submittals (filing deadlines in 2010)																					
Resource and Demand Balancing																					
BAL-001-0.1a	Real Power Balancing Control Performance	Monthly	Previous Month	All	BA																
BAL-002-0	Disturbance Control Performance	Quarterly	Previous Quarter	All	BA												RSG				
Facilities Design, Connections, and Maintenance																					
FAC-003-1	Vegetation Management Program	Quarterly	Previous Quarter	3															TO		
Interconnection Reliability Operations and Coordination																					
IRO-004-1	Reliability Coordination - Operations Planning	Monthly	Previous Month	1										RC							
Personnel Performance, Training, and Qualifications																					
PER-003-0	Operating Personnel Credentials	Monthly	Previous Month	All	BA									RC					TOP		
Transmission Operations																					
TOP-007-0	Reporting SOL and IROL Violations	Monthly (TOP-007 2.1)	Previous Month	1, 2															TOP		
TOP-007-0	Reporting SOL and IROL Violations	Monthly (TOP-007 2.3)	Previous Month	4										RC							
Transmission Planning																					
TPL-001-0.1	System Performance Under Normal Conditions	6/1/2010	4/1/2009 - 3/31/2010	3									PA							TP	
TPL-002-0	System Performance Following Loss of a Single BES Element	6/1/2010	4/1/2009 - 3/31/2010	3									PA							TP	
TPL-003-0	System Performance Following Loss of Two or More BES Elements	6/1/2010	4/1/2009 - 3/31/2010	3									PA							TP	
TPL-004-0	System Performance Following Extreme BES Events	6/1/2010	4/1/2009 - 3/31/2010	2									PA							TP	
2010 Compliance Program for Self-Certifications (filing deadlines in 2011)																					
Critical Infrastructure Protection																					
CIP-002-2	Critical Cyber Asset Identification	1/31/2011 (projected)	4/1/2010 - 12/31/2010	All	BA		GO	GOP	IA	LSE			RC					TO	TOP	TSP	
CIP-003-2	Security Management Controls	1/31/2011 (projected)	4/1/2010 - 12/31/2010	All	BA		GO	GOP	IA	LSE			RC					TO	TOP	TSP	
CIP-004-2	Personnel & Training	1/31/2011 (projected)	4/1/2010 - 12/31/2010	All	BA		GO	GOP	IA	LSE			RC					TO	TOP	TSP	
CIP-005-2	Electronic Security Perimeter(s)	1/31/2011 (projected)	4/1/2010 - 12/31/2010	All	BA		GO	GOP	IA	LSE			RC					TO	TOP	TSP	
CIP-006-2	Physical Security of Critical Cyber Assets	1/31/2011 (projected)	4/1/2010 - 12/31/2010	All	BA		GO	GOP	IA	LSE			RC					TO	TOP	TSP	
CIP-007-2	Systems Security Management	1/31/2011 (projected)	4/1/2010 - 12/31/2010	All	BA		GO	GOP	IA	LSE			RC					TO	TOP	TSP	
CIP-008-2	Incident Reporting and Response Planning	1/31/2011 (projected)	4/1/2010 - 12/31/2010	All	BA		GO	GOP	IA	LSE			RC					TO	TOP	TSP	

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Standard Number	Title	Due Date*	Reporting Period**	Requirements	Applicable Function(s)***															
					BA	DP	GO	GOP	IA	LSE	PA	PSE	RC	RP	RSG	TO	TOP	TP	TSP	
CIP-009-2	Recovery Plans for Critical Cyber Assets	1/31/2011 (projected)	4/1/2010 - 12/31/2010	All	BA		GO	GOP	IA	LSE			RC				TO	TOP		TSP
	Form: CIP Nuclear Unit	1/31/2011 (projected)	as of December 31, 2010	All			GO	GOP												
	Form: CIP Supplemental Questions	1/31/2011 (projected)	as of December 31, 2010	---	BA		GO	GOP					RC				TO	TOP		
Personnel Performance, Training, and Qualifications																				
PER-002-0	Operating Personnel Training	1/31/2011	1/1/2010 - 12/31/2010	All	BA														TOP	
PER-004-1	Reliability Coordination – Staffing	1/31/2011	1/1/2010 - 12/31/2010	All									RC							
	Form: Annual Self Certification of Monthly and Quarterly Standards	1/31/2011	1/1/2010 - 12/31/2010		BA								RC						TOP	

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Standard Number	Title	Due Date*	Reporting Period**	Requirements	Applicable Function(s)***												
					BA	DP	GO	GOP	IA	LSE	PA	PSE	RC	RP	RSG	TO	TOP

**Data Submittal Reporting Requirements
(will be posted to the portal by Reliability Services or Reliability Assessments)
2010 Program (filing deadlines in 2010)**

Resource and Demand Balancing															
BAL-003-0.1b	Form: Frequency Response	12/15/2010	---	All	BA										
Emergency Preparedness and Operations															
EOP-009-0	Form: Blackstart	10/1/2010	---	All			GO	GOP							
Modeling, Data, and Analysis															
MOD-024-1	Form: Generator Testing, Real	10/1/2010	---	All			GO								
MOD-025-1	Form: Generator Testing, Reactive	10/1/2010	---	All			GO								
Protection and Control															
PRC-004-1	Form: Protection System Misoperations	6/1/2010 & 12/1/2010	---	All		DP	GO							TO	
PRC-007-0	Form: UFLS	6/1/2010	---	All		DP			LSE					TO	TOP
PRC-015-0	Form: SPS	6/1/2010	---	All		DP	GO							TO	
PRC-016-0.1	Form: SPS Misoperations	6/1/2010	---	All		DP	GO							TO	
PRC-018-1	Form: Disturbance Monitoring Equipment	6/1/2010	---	All			GO							TO	
PRC-021-1	Form: UVLS	6/1/2010	---	All		DP								TO	

* Due dates are subject to change with advance notice provided by SERC to registered entities.
 ** Period of time covered by the self-certification or data submittal.
 *** The listing of applicable functions is provided as a convenience. The applicability section of the reliability standard sets forth the function types for which the standard applies.

