



**SERC Reliability Corporation Compliance Seminar
Renaissance Nashville Downtown – Nashville, TN
November 30 – December 1, 2010**

“Continuous Compliance”

Tuesday, November 30, 2010

7:30AM *Registration & Breakfast*

8:30AM Welcome

- Introduction/Welcome
- Agenda overview
- Opening comments

Ken Keels

Director of Compliance, SERC

9:00AM Registered Entity Forum (REF)
Q & A and sharing of best/good practices

Open to all SERC Registered
Entities

*The REF is a self-directed forum that provides a formal
platform for Registered Entities to address compliance issues
of interest and importance to them.*

Facilitated by the REF Steering
Committee

12:00PM *Lunch*

1:00PM Registered Entity Forum recap with SERC staff

REF and Ken Keels

1:30PM SERC vision for 2011 – Impressions and expectations of
compliance in the SERC region

Scott Henry

President & CEO, SERC

2:00PM NERC Update

- NERC's vision
- Compliance Monitoring Enforcement Program
changes
- Transparency and consistency
- Compliance application notices

Michael Moon

*Director of Compliance
Operations, NERC*

2:45PM *Break*

3:15PM 2011 Annual Implementation Plan

Ken Keels

Director of Compliance, SERC

3:30PM Changes for 2011 – non-CIP

James Harrell

*Manager of Compliance Audits
SERC*

4:00PM Changes for 2011 - CIP

Bob Goss

*Manager Compliance Programs
SERC*



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4:30PM Technical Feasibility Exceptions Lessons Learned

Bob Goss
*Manager Compliance Programs
SERC*

Matt Stryker
CIP Compliance Auditor, SERC

5:00PM Questions

SERC staff

5:30PM *Close Day 1*



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7:00AM *Breakfast and Late Registration*

8:00AM SERC Responses to Registered Entity Forum questions SERC staff

9:30AM Registration and Certification

- Coordinated functional registry
- Multi-region registered entity
- Mapping project

Bob Goss
*Manager Compliance Programs
SERC*

Kevin Berent
*Registration and Certification
SERC*

10:00AM *Break*

10:30AM Overview of penalty determination

Ken Keels
Director of Compliance, SERC

11:00AM Audit readiness

Bob Goss
*Manager Compliance Programs
SERC*

Kevin Berent
*Registration and Certification
SERC*

Matt Stryker
CIP Compliance Auditor, SERC

12:30PM Q & A / Wrap up

All

1:00PM *Adjourn*