



**SERC Reliability Corporation Compliance Seminar
New Orleans Marriott Downtown- New Orleans, LA
April 6 –7, 2010**

“Continuous Compliance”

Tuesday April 6, 2010

7:30AM *Registration & Breakfast*

8:30AM *Welcome*

- Introduction/Welcome
- Agenda Overview
- Updates and New Developments

Marisa Sifontes
Interim Compliance Director &
Legal Counsel
SERC

9:00AM *Registered Entity Forum (REF)*

Open to all SERC Registered
Entities

The REF is a self-directed forum that provides a formal platform for Registered Entities to address compliance issues of interest and importance to them.

Facilitated by Helen Nalley of Southern Company Services, Inc. and Steve Phillips of E.ON U.S. Services, Inc.

11:30AM *Registered Entity Forum Recap*

REF and Marisa Sifontes

12:00PM *Lunch*

All

1:00PM *FERC Observations and Insights with Question & Answer*

Mark E. Hegerle
Director, Division of Compliance
Office of Electric Reliability
FERC

1:45PM *General Standards Revisions Update Panel*

- New and Revised Standards on the Horizon

- Results Based Reliability Standards
- Standards Development Status

- Protection System Standards

Pat Huntley
Manager Reliability Standards
SERC

Andy Rodriguez
Manager, Business Practice
Coordination
NERC

Phil Winston
Chief Engineer
Southern Company Services, Inc.

2:45PM *Break*

All

3:00PM *Application of Non-CIP Standards for Compliance*

James Harrell
Manager of Compliance Audits
SERC



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3:45PM Discussion of Newly Enforceable CIP Standards

Bob Goss
Manager of Compliance Programs
SERC

4:30PM Question and Answer

SERC Staff

5:00PM *Close Day 1*



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Wednesday April 7, 2010

7:00AM	<i>Breakfast and Late Registration</i>	
8:00AM	SERC Compliance Management Team Panel Discussion of Registered Entity Forum Questions	SERC Staff
9:30AM	NERC Comments <ul style="list-style-type: none"> • Revisions to Delegation Agreements, Rules of Procedure and CMEP • New Developments at NERC • FERC Inquiries into NOPs with Settlements 	Craig P. Lawrence Manager of Organization Registration, Certification, and Compliance Monitoring NERC
10:15AM	<i>Break</i>	All
10:30AM	Elements of an Effective Compliance Program – SERC Perspective	Marisa Sifontes Interim Compliance Director & Legal Counsel SERC
10:45AM	Registered Entity Panel Lessons Learned Discussion <ul style="list-style-type: none"> • Value of Participating as an Industry Subject Matter Expert • Successful Execution of a Mitigation Plan • Successful Coordination of Transmission Owner/Generator Owner Functions 	John Neagle Supervisor, System Operations Associated Electric Cooperative, Inc Helen Nalley Compliance Director Southern Company Services, Inc. Greg Pierce Director, Transmission Compliance Entergy Rick King Director, Electric Reliability Standards Entergy
11:45PM	General Questions and Answers	All
12:00PM	<i>Lunch</i>	All
1:00PM	Audit Readiness	Kevin Berent Registration and Certification Engineer SERC
2:30PM	<i>Adjourn</i>	