

## **SERC 2010 COMPLIANCE MONITORING AND ENFORCEMENT PROGRAM SEMINAR**

“Continuous Compliance” is the focus for the 12<sup>th</sup> Annual SERC Compliance Monitoring and Enforcement Program Seminar Series. In 2010, SERC will conduct four seminars throughout the geographic region with different agendas for the spring and fall seminars. SERC staff encourages each Registered Entity to attend both a spring and fall seminar. A common element through all four seminars will be a Registered Entity Forum (REF) meeting incorporated into the beginning of each seminar. The REF is a self-directed forum that provides a formal platform for Registered Entities to address compliance issues of interest and importance to them. The REF is open to all SERC Registered Entities.

### **SEMINAR OVERVIEW**

#### Common Topics for Spring and Fall Seminars

- Registered Entity Forum and SERC Staff Response to REF Questions
- SERC Compliance Program Update and New Developments
- Elements of Effective Compliance Program
- Perspectives from FERC Staff (tentative)
- Updates from NERC Staff

#### Spring Seminar Focus

- Standards Development/Revisions Update; SERC Regional Documents (Regional Standards, Regional Criteria, Supplements and Reference Documents)
- Non-CIP Standards and How Compliance Will Be Evaluated
- Newly Enforceable CIP Standards and How Compliance Will Be Evaluated
- Registered Entity Discussion of Lessons Learned
- Audit Readiness

#### Fall Seminar Focus

- Look Back at 2010, Lessons Learned
- Upcoming Changes for 2011

### **RECOMMENDED PARTICIPANTS**

Participation in the seminar is open to employees and representatives of organizations listed on the NERC Compliance Registry within the SERC Region. Individuals involved in the following activities related to compliance with reliability standards would benefit from attendance:

- compliance managers and staff
- personnel involved in self-assessment (internal audit) of compliance with reliability standards
- persons responsible for preparing compliance filings
- individuals authorized to sign and attest to compliance submittals
- management and staff responsible for planning, operations and maintenance of bulk-power system facilities
- personnel involved in bulk-power system cyber security and physical security

### **YOUR INPUT REQUESTED**

If you have suggestions for topics, issues or questions to be addressed during the seminars, please email your suggestions to [serccomply@serc1.org](mailto:serccomply@serc1.org).

## **DATES AND LOCATIONS**

### **March 16-17, 2010 - Atlanta, GA**

Atlanta Airport Marriott

March 16, 2010 8:30 a.m. - 5:00 p.m. EPT

March 17, 2010 8:00 a.m. - 3:30 p.m. EPT

### **April 6-7, 2010 - New Orleans, LA**

New Orleans Marriott

April 6, 2010 8:30 a.m. - 5:00 p.m. CPT

April 7, 2010 8:00 a.m. - 3:30 p.m. CPT

### **November 16-17, 2010 - Charlotte, NC**

SERC Reliability Corporate Office -Gerry Cauley Conference Center

Lodging at the Renaissance Charlotte Suites Hotel

November 16, 2010 8:30 a.m. - 5:00 p.m. EPT

November 17, 2010 8:00 a.m. - 3:30 p.m. EPT

### **November 30-December 1, 2010 - Nashville, TN**

Renaissance Nashville Downtown

November 30, 2010 8:30 a.m. - 5:00 p.m. CPT

December 1, 2010 8:00 a.m. - 3:30 p.m. CPT

## **COMPLIANCE SEMINAR REGISTRATION & PAYMENT**

Registration is via the SERC website ([www.serc1.org](http://www.serc1.org)) (Upcoming Meetings section). Once a session reaches the limited number of attendees, the session will be closed and registration will no longer be possible. **Attendance is by pre-registration only and each session is limited to 150 attendees.**

The cost of the Compliance Seminar is \$125 per attendee. Payments for the seminars can be made by either credit card or check via the on-line registration process. Please retain the email receipt generated by your signup for your records.

## **HOTEL RESERVATIONS**

Details pertaining to hotel reservations, including room rates can be found via the "More Info" link by each Compliance Seminar in the Upcoming Meetings area of the SERC website.

## **ADDITIONAL INFORMATION**

A detailed agenda and presentation materials for each seminar will be posted on the SERC website ([www.serc1.org](http://www.serc1.org)) under the Compliance tab, 2010 Program – Outreach menu, of the SERC public website prior to each scheduled seminar.

If you need additional information or have logistics questions about the SERC Reliability Corporation 2010 Compliance Seminars, please contact Matt Bigg, SERC Conference and Meeting Planner, at [mbigg@serc1.org](mailto:mbigg@serc1.org) or phone 704.940.8232. If you have any questions concerning meeting content, please contact Janice Carney, SERC Compliance Engineer, at [jcarney@serc1.org](mailto:jcarney@serc1.org) or phone 704.494.7772.