

Welcome

March 31, 2020

COVID-19 Impact Webinar

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Logistics

This WebEx session is being recorded.

The recording will be available to
Primary and Alternate Compliance Contacts
and Primary Compliance Officers upon request.

Logistics

- Participants have been muted upon entry to eliminate background noise.
- Send questions through the Chat feature.
 - If your question is too lengthy to type, send a request through the Chat feature to be un-muted.
- Presentations are on the Event Details webpage

Antitrust Guidelines

- It is SERC's policy and practice to obey the antitrust laws and to avoid all conduct that unreasonably restrains competition. This policy requires the avoidance of any conduct that violates, or which might appear to violate, the antitrust laws.
- It is the responsibility of every SERC member, every SERC member employee who participates in SERC activities, other participants, and SERC staff personnel who may in any way affect SERC's compliance with the antitrust laws to carry out this commitment.

Antitrust Guidelines

- Participants in SERC activities should refrain from the following prohibited discussions when acting in their capacity as participants in SERC activities:
 - Discussions involving pricing information, especially margin (profit) and internal cost.
 - Discussions of a participant's marketing strategies.
 - Discussions regarding how customers and geographical areas are to be divided among competitors.
 - Discussions concerning the exclusion of competitors from markets.
 - Discussions concerning boycotting or group refusals to deal with competitors, vendors, or suppliers.
- Any other matters that do not clearly fall within these guidelines should be brought to the attention of SERC staff.

Confidentiality Policy

- Participants are also reminded to not share information of a sensitive and commercial nature, including but not limited to that provided by SERC members and designated as “Confidential,” that SERC members customarily hold confidential and do not disclose publicly.

Standards of Conduct Guidelines

- The Federal Energy Regulatory Commission's Standards of Conduct for transmission providers forbid a transmission provider from providing an undue preference or advantage to any person and require transmission providers to treat all customers in a not unduly discriminatory manner.
- Participants in SERC activities are expected to abide by the restrictions in the Standards of Conduct.

Standards of Conduct Guidelines

- During any meetings, discussions or other activities, all participants should:
 - Refrain from disclosing non-public transmission function information, which includes any information related to day-to-day transmission operations and planning, such as transmission outages and constraints.
 - Refrain from discussing any non-public transmission customer-specific information.



THANK YOU

COVID-19 Impact Notification Process

March 31, 2020

COVID-19 Impact Webinar

Rick Dodd

SERC Senior CIP Compliance Specialist

COVID-19 Regulatory Discretion History

March 18, 2020 - FERC and NERC are using regulatory discretion to advise all registered entities that they will consider the impact of the coronavirus outbreak in complying with Reliability Standards to include:

- **Personnel Certification:** The effects of the coronavirus will be considered an acceptable basis for non-compliance with obtaining and maintaining personnel certification, as required in Reliability Standard PER-003-2, for the period of March 1, 2020 to December 31, 2020.
- **Standards Involving Periodic Actions:** The effects of the coronavirus will be considered an acceptable reason for case-by-case non-compliance with Reliability Standard requirements involving **periodic actions** that would have been taken between March 1, 2020 and July 31, 2020. Examples include timing requirement under PRC-005-6 and CIP-007-6 R2.

COVID-19 SERC Update

March 26 – SERC Provide **Entity Instructions**

- As indicated in last week's update, your SERC single-point-of-contact (SPOC) remains your main interface for compliance issues. SERC Senior CIP Compliance Specialist [Rick Dodd](#) is also available as a resource.
- Use the [COVID-19 Impact Notification Form](#) to notify SERC of an issue pertaining to delay in completing compliance activities due to COVID-19. Complete and submit the form to serccomply@serc1.org with a copy to your SPOC. Be sure to retain copies of notifications and file them accordingly to have available if a subsequent Monitoring activity dictates the need.

We will maintain situation awareness about the spread of COVID-19 and its future impacts. The most current information will be featured on the [SERC homepage](#) news feed, and will be communicated via social media and email to keep stakeholders informed.

- Thank you for your dedication to ensure the health and safety of your employees, your communities, and the continued reliability and security of the SERC Region.

COVID-19 Impact Notice Form



COVID-19 Impact Notice Form

Registered Entity Name (Acronym):

NCR Number:

Submission Date:

Original Activity Due Date:

Expected Activity Completion Date:

Standard / Requirement:

e.g., PRC-005-6 R3 | CIP-004-6 R2 Part 2.3

Affected Functions (if applicable):

e.g., BA | TO | TOP | GO | GOP | DP | RC

Entity an MRRE (if so, which Regions):

MRO	NPCC	RF	SERC	TRE	WECC
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Have Affected Regions been notified?: Yes No

COVID-19 Impact Notice Form (cont).

Exception Justification (i.e., describe the compliance activity and the COVID-19 related impact preventing it from being completed by the original due date(s) for the activity):

Possible Impact (describe the potential impact to BES in not completing the compliance activity on-time):

Describe the actions you intend to take to return to compliance, and when they will be implemented:

COVID-19 Impact Notice Form (cont.)

Instructions for Submittal

Please complete and submit this form via email to serccomply@serc1.org copying your SERC SPOC (if known). The subject line of the email should include your Entity acronym followed by "SERC COVID-19 Impact Notice" (e.g., XyzCo SERC COVID-19 Impact Notice) . DO NOT include sensitive information.

If your responses DO contain sensitive information, submit the completed form via SERC CIP-uP and notify serccomply@serc1.org copying your SERC SPOC (if known) of the submission.

Retain a copy of this form and the submission for your records.

[Click this link for the FERC, NERC Provide Industry Guidance on COVID-19](#)

COVID-19 Questions

- For other COVID-19 questions, use the SERC [Question Submittal Form](#) located on the [SERC homepage](#) under [Outreach](#), [Q&A](#) [Lessons Learned](#). Under general, select topic category “COVID-19”.
- The most current information will be featured on the [SERC homepage](#) news feed, and will be communicated via social media and email to keep stakeholders informed.

Questions